



**MOHAMED SATHAK A.J. COLLEGE OF ENGINEERING**  
(Approved by AICTE, New Delhi and Affiliated to Anna University, Chennai)



## Criteria 7.1.10

# INSTITUTIONAL CODE OF CONDUCT FOR STUDENTS AND STAFF

**PRINCIPAL**  
Mohamed Sathak A.J. College of Engineering  
No.34, Rajiv Gandhi Salai (OMR)  
Sipcot - IT Highway Egattur,  
Chennai - 603103.

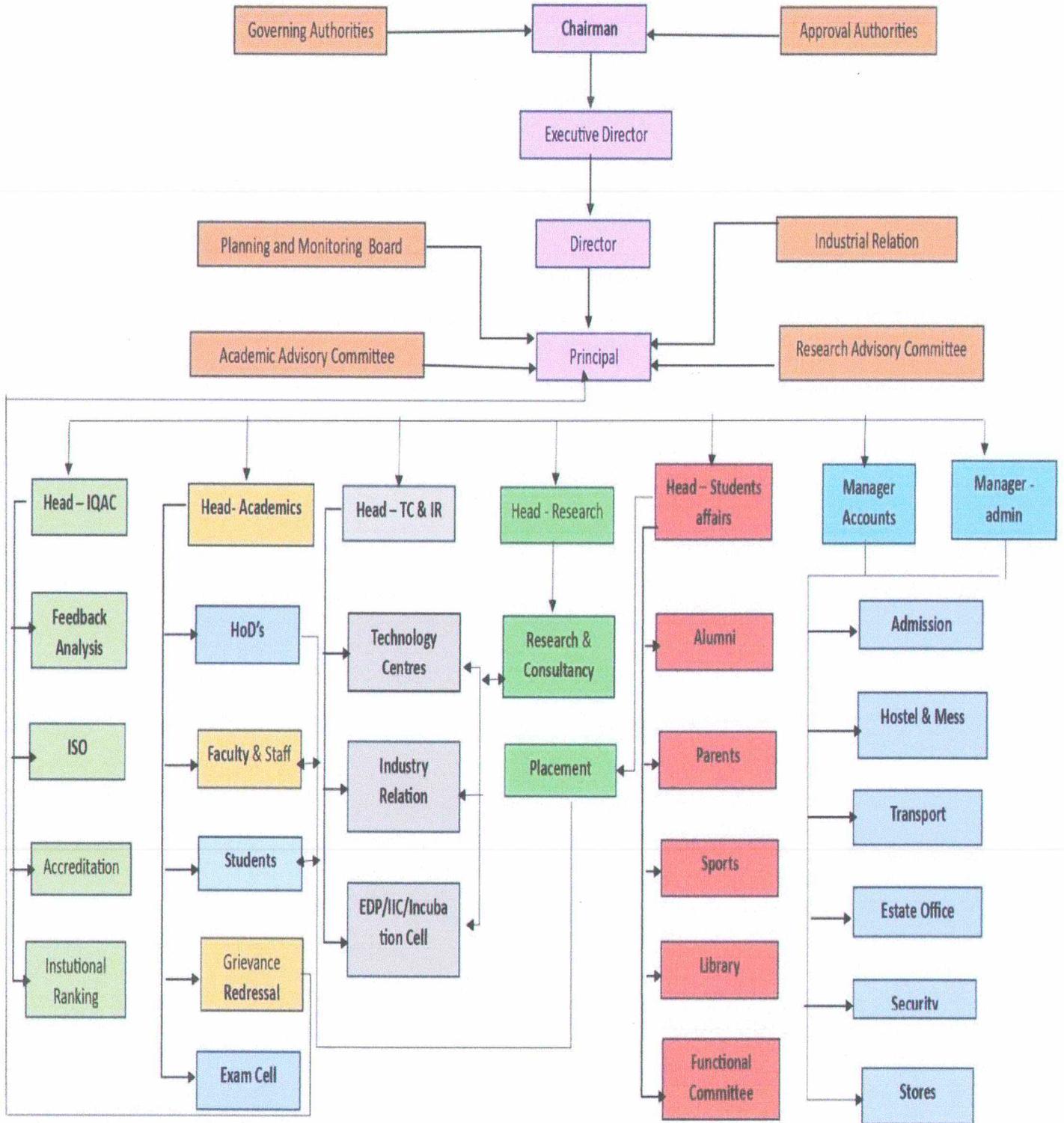


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## Decentralization and Participative Management



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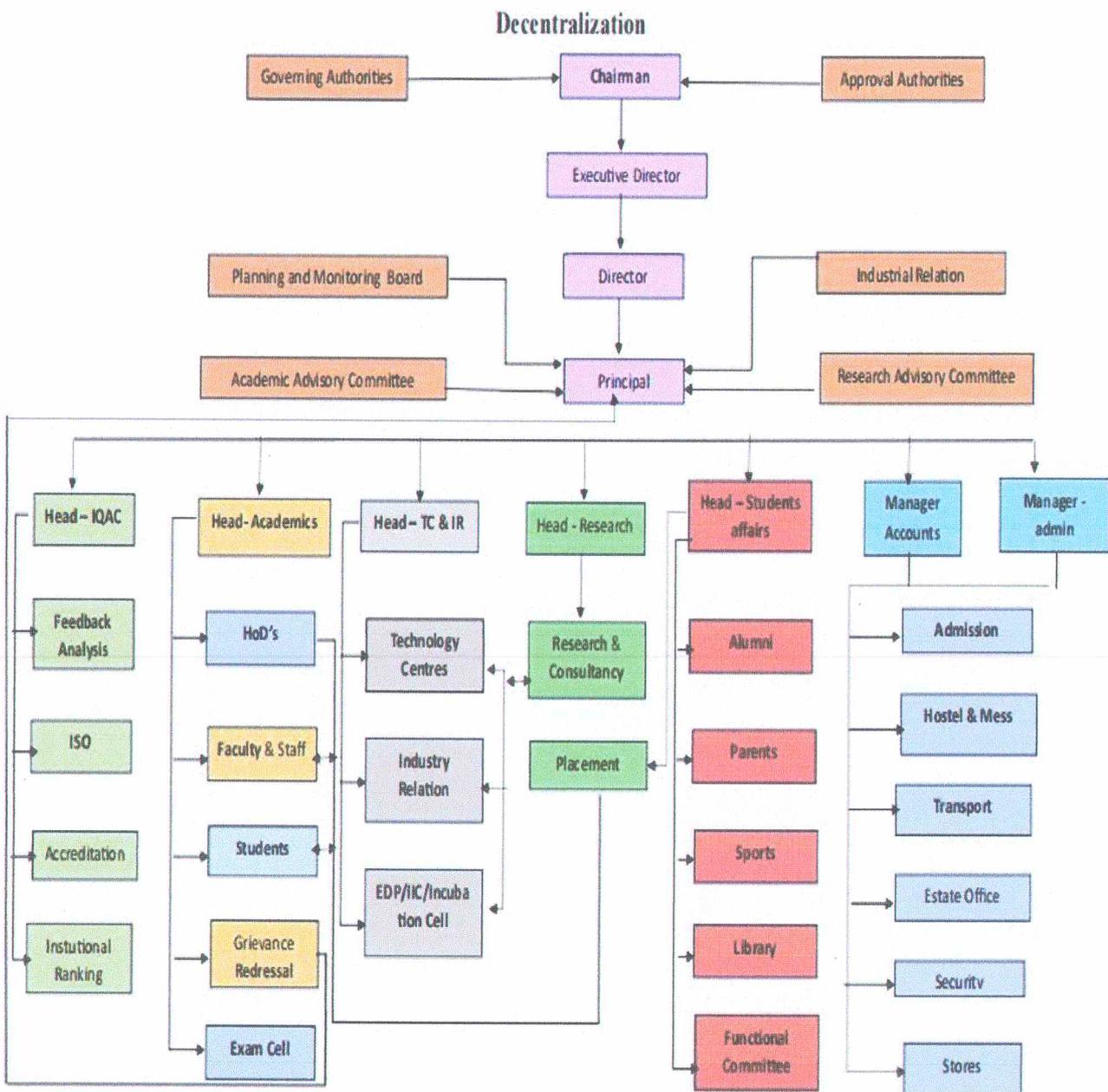


**Service Rules and Regulation:**

These rules shall be called “Mohamed Sathak AJ College of Engineering”, Chennai, Policies, Conduct Rules, and Guidelines comes into force from the month of August 2019. These rules supersede all the rules put into force, previously. These rules shall apply to all categories of employees (Teaching and Supporting Staff Members).

**1.0**

**Organization Chart of the Institute**

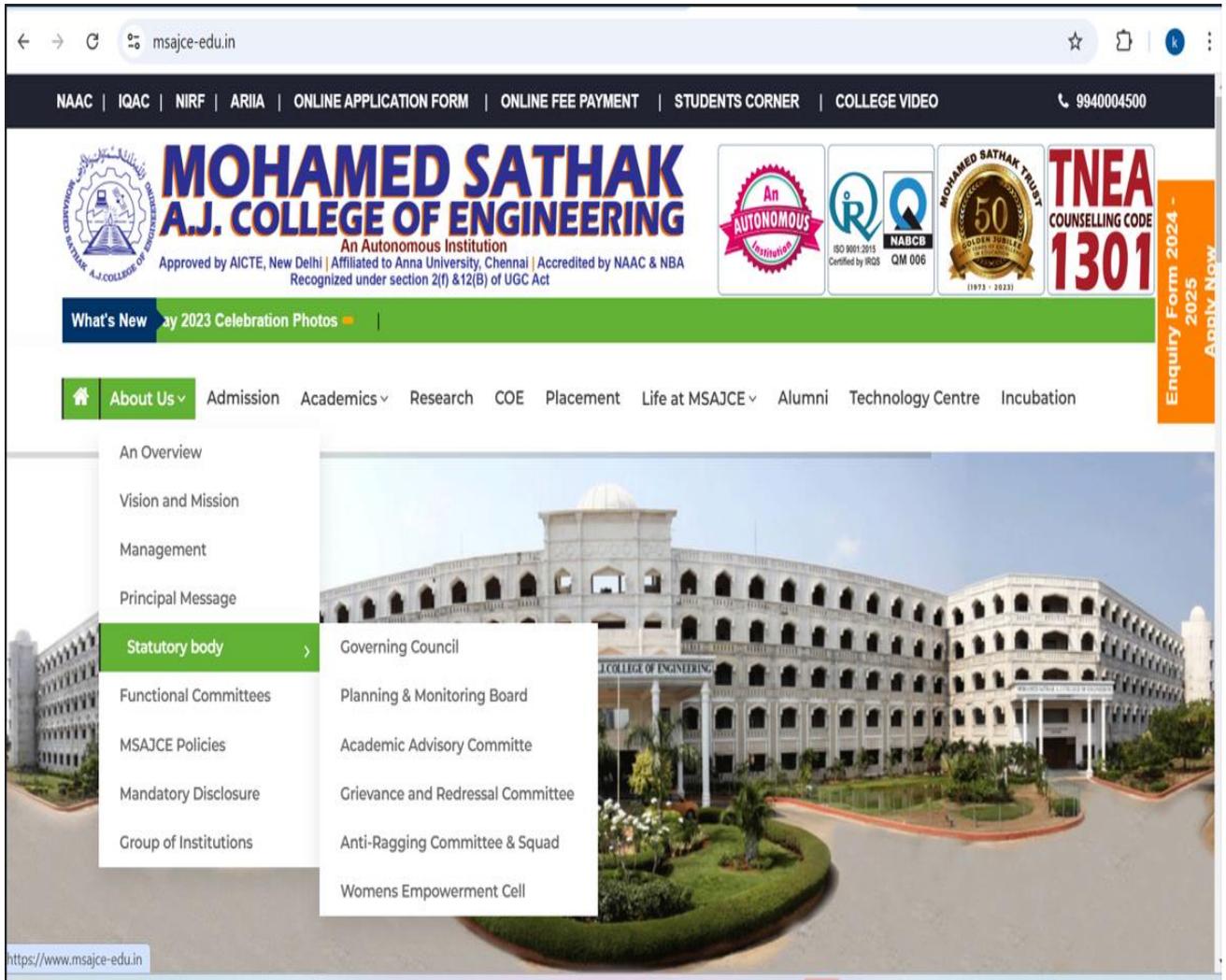


*[Signature]*  
**PRINCIPAL**



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## Website Screenshot-Code of Conduct

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2.0

## Service Rules and Policies

### 2.1 DEFINITION:

- ❖ 'College' means **Mohamed Sathak AJ College of Engineering (MSAJCE), Chennai**
- ❖ 'Trust' means **Mohamed Sathak Trust, Chennai.**
- ❖ 'Faculty Position' means a faculty carrying a definite scale of pay sanctioned without limit of time and included in the cadre of sanctioned position.
- ❖ 'Supporting Staff Members' means a person appointed in a Non-Teaching post to which no other person holds a lien.

### 2.2 ORIENTATION:

1. Every staff appointed in the College shall be given a brief introduction / orientation about the College by the Principal on the day of his / her joining.
2. The Manager (Admin) will also ensure that all the registration formalities, including submission of joining report etc., by obtaining the assistance of the Office team.
3. The Head of Department (HOD) will give a brief introduction of the department and will introduce the new incumbent to all the teaching and non-teaching members of their team.
4. HOD will also take him/her on a tour to the campus, explaining him/her the various codes of conduct observed in availing the facilities in the College.
5. The HOD will introduce the new faculty member in the first class he/she is going to handle in every section of his assignment.
6. **Staff Dress Code:** Men: (Formal) Tucked Shirt with Ties & Trousers with shoes  
Women: Sari (Formal) All the staffs should wear their identity cards at all the times inside the campus, bus and hostel.
7. **Attendance Record:** All the staff members should register their biometric and also sign in the attendance register soon after their arrival and also in the evening while leaving. They are expected to be present in the department at least 10 minutes before the commencement of forenoon session.

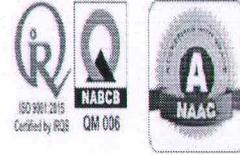
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### RECRUITMENT / INTERVIEW PROCESS OF STAFF

- ❖ All appointments of the faculty and Staff members of the College shall be made by the Chairman/ Appointing Authority as per the recommendation of Selection Committee.
- ❖ Recruitment is normally done twice in a year during May and November.
- ❖ Number of vacancies is notified by Principal / Designated Authority based on student strength / resignations of staff members, to the management for approval / information.
- ❖ Vacancies are advertised in leading newspapers, website and online etc.
- ❖ Screening of applications is done as per AICTE norms by the respective screening committee.
- ❖ Shortlisted candidates are informed through email / over telephones by HR Department.
- ❖ Selection committee shall be constituted by the Principal as per the guidelines approved by the Governing Council. Candidates shall be called for personal interview and selection will be made on merit.
- ❖ The Selection committee consisting of Management representatives, Principal, Domain Experts from Anna University / IIT, HOD's of respective department, will conduct interview and select the suitable candidates based on the performance and requirement. The decision of the Selection committee is final.
- ❖ Pay for the selected candidates shall be fixed as per the AICTE norms for the respective post, and as approved by the Governing Council based upon the qualification and experience of the candidate and other achievements.
- ❖ The offer letter will be issued for the selected candidates with specified date of joining.
- ❖ Photo copies of the following certificates will be collected by HR / Admin Office and verified with original copy at the time of interview / joining and returned the same..

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Teaching	Non-Teaching
UG , PG and Ph.D certificates	Degree or Diploma Certificate
Proof of DoB / X std mark sheet	DoB Proof / X std mark sheet
Passport Sized photo – 4 no's	Passport Sized photo – 4 no's
Experience certificates if any	Experience certificates if any
Aadhar card & PAN card	Aadhar card & PAN card
Community Certificate (if applicable)	Community Certificate (if applicable)

- ❖ The new faculty Joining in the institute should report to the Principal and complete the joining formalities and then he / she should report to the concerned HoD's.

### Probation:

- ❖ Every Staff appointed initially to a post, other than temporary appointments, shall be put on probation for a continuous period of one year. If the performance of the person appointed is not satisfactory during the period of probation, he will be issued a notice of warning at the end of the ninth month, and if his work continues to be unsatisfactory, his services will be terminated at the end of the one-year period. When the incumbent of any post is promoted to a higher post, he has to undergo probation of one year in the new post also.

### Increment / Promotions:

- ❖ Increment / Promotions will be considered for those who completed one year of successful probationary period based on Self Appraisal.
- ❖ Promotion from Assistant Professor to Associate Professor and Associate Professor to Professor cadre is considered based on the vacancy and experience as per AICTE norms of the candidate having PhD qualification in the respective domain and the Self Appraisal.

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### Termination of Service / Resignation:

- The Chairman / Appointing Authority has the power to terminate the services of a member of the college for any of the following reasons:
  - a) Serious misconduct and willful negligence of duty.
  - b) Gross insubordination
  - c) Physical or mental unfitness
  - d) Participation in any criminal offence involving moral turpitude.
  - e) The efficiency of an employee has been impaired due to any infirmity, his / her retention in service is considered undesirable, his / her services may be terminated.
- ❖ A member of the Staff shall have his / her service terminated by giving one month notice or one **month salary** in lieu thereof, in case of temporary appointments or during probationary period. In case of staff on permanent service, the staff shall have to tender his / her resignation by giving one **month notice** or one **month salary** in lieu thereof. The notice shall be co-terminus with the end of the semester / academic year.

### LEAVE RULES:

1	<b>Casual Leave (CL)</b>	12 days per year for all the staff at the rate of 1 day permonth.
2	<b>Compensation Off (C-Off)</b>	Applicable only to non-residential staff.
3	<b>Sick Leave (SL)</b>	For those who have completed 5 years up to 3 days per year (Production of Medical certificate / Discharge Summary)
4	<b>Restricted Holiday (RH)</b>	2 days Based on prior approval of the Principal

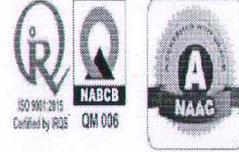
- ❖ Leave shall not be claimed as a matter of right and need to be granted.
- ❖ A staff member shall not normally or on any pretense absent himself/herself from his / her duties without prior permission of his/her superior officer authorized to give permission.

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- ❖ Leave letter is to be submitted in advance and approval must be obtained prior to availing the leave.
- ❖ In case of absence on Medical Grounds, intimation should be sent to the Principal / Designated Authority and a Medical Certificate / Discharge summary report shall be produced at the time of joining after leave.
- ❖ Leave of any kind will not be sanctioned when the services of the staff are needed for the regular work or when there is an unfinished job involving the employee.
- ❖ Leave taken on information; without prior written permission need to be ratified within 1 working day or else the period of leave will be treated as LOP.
- ❖ Leave will be strictly monitored and will not be granted while class in session unless in emergencies.

### Casual Leave (CL):

- ❖ All employees are eligible for 12 days of Casual leave per year at the rate of 1 day per working month during the year from 1<sup>st</sup> January to 31<sup>st</sup> December.
- ❖ At a time, not more than 3 CL shall be granted. Carryover of lapsed CL, to next academic year is not permissible.
- ❖ Permission for short absence not exceeding one hour on any working day either at the beginning of working hour, end of working hour or in the middle may be granted at the discretion of the Principal / Designated Authority for two occurrences per month.
- ❖ If the number of permissions for short absence exceeds more than two in a month, it shall be considered as half day (1/2 day) CL for each permission exceeding two.
- ❖ While leaving service, any excess CL taken will be considered LOP at the time of settlement.
- ❖ The CL cannot be combined with any other leaves except SL and Compensation Off.

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### Vacation Leave (VL):

- ❖ These rules govern the availing of vacation leave for each Academic Year from 1<sup>st</sup> June to 31<sup>st</sup> May. The total number of VL days for members of faculty (teaching staff) is limited to 30 calendar days (thirty), for a continuous service of 1 year in institution as on 31<sup>st</sup> May of the respective Academic Year.
- ❖ These 30 days can be availed for a maximum of 10 days in the winter vacation period in the months of November or December. The balance days can be availed in the summer vacation period in the months of May, June or July, in two split.
- ❖ However, vacation leave shall be availed as per the circulars issued in this regard, indicating the slots in the period identified for winter or summer vacation, to ensure smooth functioning of the institution.
- ❖ A staff becomes eligible for VL only after rendering a continuous service of one full academic year as on 31<sup>st</sup> May i.e. from 1<sup>st</sup> June of a calendar year to 31<sup>st</sup> May of the academic year.
- ❖ However, in special / deserving cases, VL can be sanctioned after 6 (six) months of continuous service, on pro rata basis.
- ❖ In case a staff member, after availing VL does not complete the full term of 6 months or one semester, the leave availed will be treated as Leave on Loss of Pay (LOP) and proportionate salary will be deducted from any payment due to him/her.
- ❖ If any staff member is prevented from availing VL in the interest of the college by the orders of the Principal / Designated Authority, Earned Leave (EL) at the rate of 1:2 i.e. one day for every two days of eligible vacation leave shall be considered subject to a cumulative maximum of 30 EL days throughout the service.
- ❖ Any unused part of VL by faculty cannot be carried over to the next academic year.
- ❖ While calculating the number of days of the vacation leave, all intervening declared holidays and Sundays will be included.
- ❖ The VL normally commence on a weekday except Monday.
- ❖ The VL cannot be combined with any other leave.

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### Restricted Holiday (RH):

- ❖ List of restricted holidays in an academic year will be declared by the HR / Admin department. Staff can also avail two such declared restricted holidays in a year by submitting proper leave letter after making alternation of his / her classes through HOD to the Principal. The principal has the discretion of sanctioning or declining of such leave based on institution work or requirement.

### Special Leave (SL):

- ❖ Special leave to a maximum of seven consecutive days (including holidays) may be availed for marriage of employees on production of marriage invitation and permission from the Principal.
- ❖ Special leave to a maximum of three working days can be availed in case of bereavement in the family viz. parents, parents-in-law, spouse, children. This leave can be availed after completion of probation period and can be combined with CL only. The individual must make alternate arrangement for his / her class during such leave.

### Compensation Off (C- Off):

- ❖ The faculty can avail C -Off for genuine reason(s) for every worked day on a non-working day. Such C- Off can be availed without affecting his / her class work and preferably during winter or summer vacation. C -Off cannot combine with any other leave except CL.

### Maternity Leave (ML):

- ❖ A woman employee of the institution, on successful completion of the probation period and having at least three year of continuous and satisfactory service, is eligible for Maternity Leave (ML) for a maximum of 30 calendar (thirty) days, subject to prior approval of the Principal / Designated Authority with pay for the first child delivery only.
- ❖ In addition to the above, persons who have completed at least three years of continuous and satisfactory service, a maximum of additional 90 calendar days can be availed as Maternity Leave as Leave on Loss of Pay (LOP).
- ❖ In case of staff availing total leave exceeding 6 months, she may be sanctioned leave with condition to rejoin duty only when vacancy is available.
- ❖ The decision of the Principal / Designated Authority will be final in sanctioning of such ML.

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### RELIEVING & RETIREMENT Policies:

- ❖ Resignation letter should be recommended by HOD and it should be approved by the Principal
- ❖ No staff will be permitted to leave during the working semester.
- ❖ For every staff exit interview / feedback will be conducted before obtaining the resignation letter.
- ❖ Experience certificate will not be issued for those who have not completed the successful probationary period.
- ❖ Along with no due certificate, staff should submit the handing over/(list) document taken over.
- ❖ Undertaking letter will be obtained from the staff for settlement.

### RETIREMENT POLICIES:

- ❖ For Teaching Staff, as per the guidelines of UGC/AICTE at the age of 65.
- ❖ In case of any physical problem or inability to attend his/her regular duties that time they will be given Retirement to their service as per their request.
- ❖ For Non-Teaching Staff, as per the government norms at the age of 58 years. 2 years of extension will be considered based on their physical fitness and service records.

### TRANSFER WITHIN GROUP OF INSTITUTIONS:

- Staff members should accept to work in any of our group institutions based on the requirement.

### 2.9 Awards / Incentives for faculty, staff and students

- ❖ Students who secure 1<sup>st</sup> three place (year wise) in University Exam will be awarded with Merit Certificates, incentives / mementos.
- ❖ Students having 100% attendance in each academic year will be issued Merit Certificates.
- ❖ Staff Members producing 100% in the Theory Subjects during the Anna University Examinations, will be awarded with appreciation certificates, incentives / mementos..
- ❖ Faculty / Students will be awarded merit certificates / incentives / memento for the following activities

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- Presenting paper in International Conference and Journals.
- University Rank holders & Sports Achievements
- Publishing books, Patents and Copy rights.
- Best outgoing student in UG and PG.
- Best alumni
- Completion of Industrial consultancy projects and funded projects. Won prizes in various National and International competitions.

### 2.10 Higher studies

The management shall encourage faculty members to upgrade their knowledge and in this context shall undertake to bear the cost of higher academic qualification or special training of faculty members after signing a necessary conditional bond to serve the institution for a certain period after benefitting from such academic qualification/training

### 2.11 Patent and IPR

The inventors shall be the owner for all the intellectual property inventions, which includes patent and the inventions invented or created by the inventors/creators who include faculty members, research, scholars, students and those who make use of the resources of the Mohamed Sathak AJ College of Engineering, Chennai

The inventions created by the College personnel without using college resources and created outside their assigned/normal duties of teaching/research shall be owned by the inventors and the revenue generated out of such inventions shall be shared in the ratio of 75:25 between the inventor and College respectively.

If an IP has emerged as a result of an Institutional/Industrial consultancy, sponsored to Mohamed Sathak AJ College of Engineering, Chennai the concerned industries and Mohamed Sathak AJ College of Engineering Chennai shall own the IP. This however will not apply to those IP that are covered under specific Memorandum of Understandings (MoU's) where the action shall be carried out as per the provisions of the MoU's. If the IP is a result of funds sponsored by an outside agency, then the IP will be shared the Mohamed Sathak AJ College of Engineering, Chennai and the sponsoring agency on case by case basis, as per MoU / Agreement/Undertaking.

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### Patent fee:

- i) The college pay 100% of the patent registration expenditure.
- ii) The patent renewal fees will be paid by the college for the first seven years in all cases when patent is taken by college name.
- iii) If it is joint patent with sponsoring agency, then the patenting cost will be equally shared. If the other agency does not show interest in such process, the college can either continue the patent by paying the fees for its full term or withdraw application for the patent protection, at its discretion.

### Revenue sharing:

The revenue sharing arrangements are as below:

- ❖ 30% (Thirty Percent) of the total revenue (lump sum payment, royalty or any other form) accruing from the commercial exploitation of IP owned by the college shall be credited to Inventors. 70 % of the revenue shall be credited to the college.
- ❖ The college bears the charges incurred for processing and acquisition of intellectual property rights and the college shall bear the maintenance charges for the first 7 years or till commercialization, whatsoever is earlier.

### Conversion/Transfer of IP:

- ❖ The college shall anytime share the ownership of the IP with the prior consent with the inventor. In such condition inventor shall be the co-owner of the invention and the college, shall be instrumental in process of conversion.

### 2.12 Other Terms and Conditions.

- Free transportation shall be provided to all the faculty members to and from the Institution within the city limits (inclusive of the urban agglomeration).
- Avail leave only when it is a must and leave cannot be claimed as a matter of right
- Avoid taking leave when the semester classes are going on.
- Avoid availing permission / late arrival every month as a routine habit.
- Break of service can be availed for Less than one year, beyond which if it exceeds one year, the faculty member should reappear for Interview and join the duty.
- The Faculty should not leave the Institute without any prior information and

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resigning amidst these semester is strictly not permitted.

- Promotions and Increments are given to the eligible faculty / staff members after the successful completion of one year of service based on their overall performance appraisal.
- Staff members are permitted to pursue Higher studies, as part time program, while serving in the Institution.
- Faculty members are allowed to do Ph.D through Anna University /any other reputed Institute only. Management shall pay complete fee for Patent registration and the revenue generated will shared as per the agreement signed.
- Management shall bear the expenses if any for submitting proposal and getting funding from the funding agencies.
- Any kind of celebration within the campus has to be organized after seeking approval from the Management, in prior.
- If found dissuading from anything listed in these Rules, the Management/Trust shall have the power and authority to decide and act upon any matter of concern that leads to chaos and arising difficulties. All the faculty covered with PF and Accident Insurance

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